

HSBC Prism Advisory Plus

Agile service for active investors





HSBC Prism Advisory Plus

Agile service for active investors

With HSBC Prism Advisory Plus offering, we provide you with financial information and advice on both market opportunities and your portfolio. You take your investment decisions and we support you with our team of global experts.

The Prism Advisory Plus offering allows you to stay in touch with markets and benefit from investment opportunities that are adapted for you. Your Investment Counsellor will handle your investment related queries and will provide you with proactive investment advice in light of your risk/return expectations.

Up to quarterly, your Investment Counsellor will have comprehensive portfolio review with you.

You will receive monthly updates on investment themes and asset allocation reviews and will be notified of relevant securities switches in our recommended product lists.

You will also benefit from our active monitoring and supervision of your portfolio and receive performance analysis by your Investment Counsellor to understand the impact of your investment decisions and the investment advice provided by HSBC.





Our research platform will give you access to the latest investment views of HSBC Global Private Banking as well as the latest HSBC Global Research publications on Macroeconomics, Foreign Exchange and Commodities.

Finally, our online account reporting will give you the comfort of maintaining an overview of your investments anytime, anywhere.

Your benefits

- Access to dedicated Relationship Manager
- Access to dedicated Investment Counsellor
- The construction of a well diversified and well structured portfolio in line with your risk profile
- Proactive investment advice
- Full control over investment decisions
- Notification in the event of portfolio or product issues
- Up to quarterly reviews of your portfolio, investment strategy and asset allocation
- Continuous portfolio monitoring
- Easy and convenient access to our research on strategic, financial and macroeconomic views

Service Components of HSBC Prism Advisory Plus

| Access to Expertise | | |
|---|--|---|
|  | Named Relationship Manager | ✓ |
| | Named Investment Counsellor | ✓ |
| Portfolio Advising | | |
|  | Proactive Investment advice | At the Bank's initiative & driven by client's needs |
| | Comprehensive Portfolio Review discussion | Up to Quarterly |
| | Provision of monthly Market Investment Outlook | ✓ |
| | Monthly update on Investment Themes | ✓ |
| | Notifications of changes to recommendation status of portfolio positions covered by the Bank's Investment Policy | ✓ |
| Portfolio Monitoring & Reporting | | |
|  | Annual review of Account Investment Profile | ✓ |
| | Pre-trade reviews on advised trades ¹ | ✓ |
| | Post-trade reviews ² | ✓ |
| | Access to online account reporting | ✓ |
| Access to content via Knowledge & Research Centre | | |
|  | Global Private Banking publications ³ | ✓ |
| | Macroeconomics and Foreign Exchange research | ✓ |

¹ Asset concentration and portfolio risk

² At portfolio level (on issuer concentration, asset allocation, liquidity, currency risk and portfolio risk) and at position level (on asset concentration).

³ Global Investment Committee Monthly View, Quarterly Investment Outlook, The Big Picture (non-exhaustive list)

IMPORTANT NOTICE

HSBC Private Banking has issued this document for information purposes only. This document may not be distributed to the United States, Canada, Australia or any other country in which its distribution is unlawful.

The information contained in this communication has not been reviewed in the light of your personal circumstances and does not constitute nor is intended to constitute tailored investment advice to your situation, nor an offer to you to make any particular investment or subscribe to any particular service. If you require investment advice or wish to discuss the suitability of any investment decision, you should contact your Relationship Manager or you should seek such financial, legal or tax advice from your professional advisers as appropriate. Prior to entering into a transaction you should consult with your own legal, regulatory, tax, financial and accounting advisors to the extent you consider it necessary. Any decision to enter into a

transaction should be based on your own independent investigation of the transaction and appraisal of the risks, benefits and suitability of such transaction in light of your individual circumstances.

In **Luxembourg** this document is distributed by HSBC Private Bank (Luxembourg) SA, located at 16, boulevard d'Avranches PO BOX 733, L-2017 Luxembourg and regulated by the Commission de Surveillance du Secteur Financier.

In **Switzerland** this document is distributed by HSBC Private Bank (Suisse) SA located at Quai des Bergues 9-17, PO Box 2888, CH-1211 Geneva 1 and regulated by the Swiss Financial Market Supervisory Authority (FINMA).

HSBC Private Banking is the principal private banking business of the HSBC Group. Private banking may be carried out internationally by different HSBC legal entities according to local

regulatory requirements. Different companies may provide the services listed in this document within HSBC Private Banking or by other members of the HSBC Group. Some services are not available from certain locations. Some of the HSBC Group offices listed herein may only act as representatives of HSBC Private Banking, and are therefore not permitted to sell products and services, or offer advice to customers. They serve as points of contact only. Further details are available on request.

© Copyright. HSBC Private Banking 2017 ALL RIGHTS RESERVED

No part of this publication may be reproduced, stored in a retrieval system, or transmitted, in any form or by any means, electronic, mechanical, photocopying, recording or otherwise, without the prior written permission of HSBC Private Banking.

PBRS/06/17/18; 2017.12